

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

X15934USO

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/068592

PRIORITY DATE CLAIMED
14 November 1995INTERNATIONAL APPLICATION NO.
PCT/JP96/03344INTERNATIONAL FILING DATE
14 November 1996

TITLE OF INVENTION

MATERIAL FOR NEGATIVE ELECTRODE OF LITHIUM SECONDARY BATTERY, . . .

APPLICANT(S) FOR DO/EO/US

MORITA, Koichi et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 34
(35 U.S.C. 371(c)(5)). - (2) SETS - 11/17/97 + 11/12/98.

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. A FIRST preliminary amendment.
- A SECOND or SUBSEQUENT preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:
 - a. Customer Number/Change of Address Letter
 - b.
 - c.
 - d.
- 16e. A copy of the Notification of Missing Requirements under 35 USC 371.
- 16f. In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time as many as are required to render this submission timely. Any fee is authorized below in 17(c).

Date: 14 May 1998

17. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5))

Search Report has been prepared by the EPO or JPO

\$ 930.00

CALCULATIONS PTO USE ONLY

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$ 720.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) \$ 790.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1070.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 930

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	37 - 20 =	17	X \$22.00	\$ 374
Independent claims	05 - 3 =	02	X \$82.00	\$ 164
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$

TOTAL OF ABOVE CALCULATIONS =

\$ 1468

Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$ 1468

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE =

\$ 1468

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40

TOTAL FEES ENCLOSED =

\$ 1508

Amount to be:
refunded \$
charged \$

- a. A check in the amount of \$ 1508 to cover the above fees is enclosed.
- b. Please charge my Deposit Account No. 12-0555 in the amount of _____ to cover the above fees.
- c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit overpayment to Deposit Acct. No. 12-0555.

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.

Please address all correspondence to Thomas P. Sarro at the address (below) of Customer Number: 00881.

SEND ALL CORRESPONDENCE TO:

LARSON & TAYLOR

TRANSPORTOMAC PLAZA
1199 NORTH FAIRFAX STREET, SUITE 900
ALEXANDRIA, VIRGINIA 22314-1437

 Signature
 B. Aaron Schulman

Name

31877

Registration Date: 14 May 1998

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of)
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MORITA, et al.)
)
Serial No.: New Application)
)
Filed: On even date herewith)
)
For: MATERIAL FOR NEGATIVE)
 ELECTRODE OF LITHIUM...)

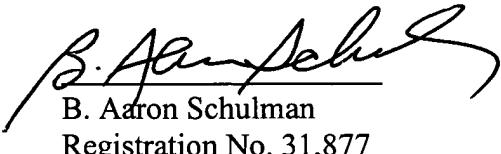
CUSTOMER NUMBER / CHANGE OF ADDRESS

Honorable Assistant Commissioner of Patents
Washington, D. C.
SIR:

Henceforth, please appoint the practitioners of LARSON & TAYLOR associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and direct all correspondence to that Customer Number.

CUSTOMER NUMBER: 000881

Respectfully submitted,


B. Aaron Schulman
Registration No. 31,877

LARSON & TAYLOR
Transpotomac Plaza
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May 14, 1998